

Name of the tender: Bid for Assignment of CMMi-ML5 V2.0 Consultancy and Sustenance Appraisal for Software Development & Maintenance (UTIITSL_73)

Prebid queries for UTIITSL

S/N	Clause/Point No	RFP Clause / Specification	Query Details / Clarification required on Clause	UTIITSL's Response
1	7	The bidder should be ISO 9001:2015 certified. This Certificate shall be submitted online along with the Technical bid	If we may jointly applying with a partner as a bidder and one of the entity has ISO 9001:2015 certificate, then can it be considered. The other party will be the one issuing certificate can it be considered?	No consortium is allowed.
2	8	The bidder should have certificate to undertake CMMI Level 5 certification audits from accreditation board. This Certificate shall be submitted online along with the Technical bid	The bidder has collaborated with an entity having HMLA with whom we are jointly applying, and the HMLA is registered with the institute. Also, both have individuals performing audits over 5+ years	No consortium is allowed.
3	15	The successful bidder/vendor should be in existence for at least 5 years with core business line in the area of Capability Maturity Model Integration Audits	Both GT and the Growth advisory has been into existence for over 5 years. The members performing CMMI audits have been doing so over a decade but have recently joined the organisation.	Expereience of Bidder is required for at least 5 years with core business line in the area of Capability Maturity Model Integration Audits
4	16	(The successful bidder/vendor should have prior experience on Certification Audits of ISO 9001:2015 & CMMI ML 5. (The Client Satisfactory Certificate or Feedback from at least 5 clients on CMMI Level 5 (High Maturity shall be submitted online along with the technical bid).	The bidder can share prior experience on ISO 9001:2015 & CMMI ML5 certifications as individuals for 5 clients in the past. Feedback Mails can be provided for individuals i.e. advisory consultants as the earlier organisation details were different we may not be able to provide for the current organisation. We can get the same for HMLA also. Existing engagements and feedback can also be shared for both ISO 9001:2015 and CMMI ML5 with GT	Satisfactory Certificate or Feedback form from 5 clients on CMMI Level 5 in the name of bidder to be submitted.
5	17	The successful bidder/vendor should have experienced and certified professionals on payroll; at least 3 (Three) in Auditing assignment for the Certification of CMMI Level 5 (High Maturity); CVs along with LA qualification certificate on CMMI Level 5 (High Maturity) to be attached; only ISACA approved LA qualification certificate will be considered. (The duly attested certified professional's details along with their CVs from HR Department shall be submitted online along with the technical bid)	The bidder has more than 3 experienced and certified professionals on payroll for providing advisory work across Delhi and Mumbai who have experience in ML5 engagements. They are working on ISO and CMMI engagements at GT also. HMLA with whom we have collaborated is ISACA authorised and can perform audits	At least 3 Auditing assignment of the bidder for the Certification of CMMI Level 5 to be submitted along with LA qualification certificate on CMMI Level 5.

6	18	The successful bidder/vendor shall preferably be empanelled with Information Systems Audit and Control Association (ISACA) to conduct benchmark Appraisal, Action Plan Appraisal, Sustenance appraisal & Evaluation Appraisal. (The valid empanelment certificates along with supportive documents shall be submitted online along with the technical bid).	As a bidder, we have currently maintained our independence to provide advisory services which is unbiased and not influenced. We can support the organisation till the end of the journey during appraisal activities. We have collaborated a ISACA certified HMLA for benchmark appraisal who will be providing the same to the organisation. We can submit the documents for the same.	Bidder shall submit valid empanelment certificates with ISACA along with supportive documents
7	NA	Does this tender include the CMMI Appraisal also. In case not, then will there be separate tender for CMMI Dev ML5 Appraisal?	The RFP is silent about the CMMI Dev ML5 Appraisal	Please refer point no 7 (ATM Fees and Benchmark Appraisal, if any) of Scope A: CMMI-ML5 V2.0 Consultancy and SUSTENANCE Appraisal in COMMERCIAL BID FORM
8	PART II – SPECIAL CONDITIONS	Scope “A”- Consulting Assignment - Point 1	What does sustenance appraisal indicate: Is it going to be a repeat benchmark appraisal or sustainment appraisal? Please confirm. In case of a sustainment appraisal, the scope will be kept as same as the last appraisal	It is sustainment appraisal. There are some modifications in this scope of work.
9	PART II – SPECIAL CONDITIONS	Scope “A”- Consulting Assignment - Point 1	What does cycle 1 & cycle 2 indicate? Is it the total timeframe for the journey or cycle 1 indicate the appraisal timeframe and cycle 2 indicate the sustenance support post appraisal? Please elaborate the steps expected in cycle 1 and cycle 2 for us to plan the estimates	Cycle-1 (First Year): May 2023 to May 2024, sustainment appraisal in Year 1 is due in July 2023. Cycle-2 (Second Year): June 2024 to June 2025, sustainment appraisal Year 2 which is due in July 2024 Both Cyles have same scope of work.
10	PART II – SPECIAL CONDITIONS	Scope “A”- Consulting Assignment - Point 2	Is it expected to report the evaluation equivalent appraisal findings on PARS, in that case it has to be a formal evaluation appraisal? For an evaluation equivalent appraisal, the findings won't be reported to PARS. PARS reporting will be done for benchmark / sustainment appraisal or a formal evaluation appraisal	Yes, agreed, Necessary modifications shall be intimated through Corrigendum.

11	PART – I General Conditions	-	Please confirm --> In accordance with standard industry practice, KPMG's aggregate liability under this RFP and in connection with the services shall be for direct damages and shall be limited to one time the fees paid to us. We shall not be liable for any indirect or consequential losses.	Bidder shall be liable for any direct/indirect or consequential losses as per tender. However there are no such occurrences in the past experience of 12 years.
12	PART – I General Conditions	Inspection - Point 41	Please confirm --> Any audit shall be subject to the following: (i) the audit shall be restricted to the engagement and shall be conducted with prior reasonable notice (ii) Employer or its authorized representatives shall execute a Non-Disclosure Agreement before such audit which shall govern the conduct of audit and any results thereof; (iii) the auditors or the representatives of Employer for the audit shall not be bidder's competitors; (iv) the audit shall not be conducted more than once in a calendar year and twice in entirety; and (v) any findings during the audit, shall be shared with Employer and be discussed and agreed mutually with Employer and bidder for its closure.	Point No. (iv) auditors shall visit for 5 days for quarterly PQA Audits as per scope of work. External Audit i.e. Sustenance Audit will be held once in a year
13	PART – I General Conditions	Confidentiality - Point 35	Please confirm --> KPMG shall be allowed to retain sufficient documentation as part of our professional records to support and evidence the work performed by us. Such retention shall be subject to obligations of confidentiality mentioned herein.	Documentation prepared by Bidder as a part of audit assignment for providing evidence to CMMI Institute shall be allowed to retain, however technical documentation will not be shared as these are confidential documents. The successful bidder needs to sign NDA with UTIITSL before commencement of work