

Please fill only in the space provided. Any additional details/notings/instructions or those provided at the unused Sections of the Form to avoid misuse. Please use separate Transaction Form for each Transaction.

• Options marked in **GREEN** are IMPORTANT  
• Options marked in **BLUE** to be filled IF APPLICABLE

Distributor Name and ARN	Sub Broker Code	Sub Broker/Branch/ RM Internal Code	Employee Unique ID. No. (EUIIN)	For Office use only
<b>your Distributor's Details: ARN Code, Sub Broker ARN and EUIIN</b>				

I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio Number <b>your Existing Folio No. with DSPBR</b>	Name of Sole / First Unitholder <b>your Name</b>	(Sole / FirstApplicant's Signature Mandatory)
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Scheme Name / Plan* / Option* / Sub Option* <b>your Amount in words &amp; figures</b>	DSP BlackRock <b>your Cheque or Funds Transfer details</b>	the Full Scheme Name/Plan/Option/Sub option in which you would like to transact <b>Redemption? Fill this section</b>
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**Purchase? Fill this section**

Payment Mode:  How you are making the payment

Amount in Words

Cheque  DD  
 Funds trf  RTGS/NEFT

**Redemption? Fill this section**

Amount in Figures Amount in Words

Rs.

OR **specify Amount to redeem [OR] Units** (either in Units or in Amount and not in both)

Units in Figures Units in Words

Amount in Figures (i) Cheque / DD / RTGS / NEFT No.

Rs.

DD Charges (ii) Cheque / Payment Date

Rs.

Total Amount (Rs.) (i) + (ii) Payment from Bank A/c No.

Rs.

Cheque / DD Drawn on / Payment from Bank & Branch

**Bank Account for This Redemption Proceeds** (This should NOT be construed as "Change of Bank Mandate" request. Refer Instructions overleaf.)

I/We agree that the redemption proceeds should be sent entirely at our risk to the following bank account, if already registered with the fund or to the default bank account if no bank account is mentioned here.

Bank Name **Your registered bank account where you need funds, if multiple bank accounts are registered by you.**

Account No.

Important Note: Unregistered bank account will not be considered, even if mentioned here. To change bank account, investors should avail multiple bank account registration facility and use a specific designated form for this purpose. If unit holder(s) provide a new and unregistered bank mandate with the redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds and will not be registered.

Account Type  Savings  Current  NRE  NRO  FCNR  Other

Documents Attached to avoid Third Party Payment Rejection, where applicable :

Bank Certificate, for DD  Third Party Declaration

\* Default Option may be applied in case of no information, ambiguity or discrepancy.

**Switch from one fund to another?**

Amount in Figures Amount in Words

**PAN AND KYC UPDATION**

Sole / First Applicant / Guardian

Second Applicant / Guardian

Third Applicant / Guardian

**KYC LETTER**

Attached

Attached

Attached

Attached

Attached

**specify Amount [OR] Units for switch out**

Units in Figures Units in Words

**PoA (Power of Attorney)**

Name of the PoA holder

PAN of the PoA holder

**Quick Checklist - Page 1**  
Hope you have filled the following:

- Distributor Details, including EUIIN [or] signed against declaration
- Your Folio Number and Name
- Scheme in which you wish to transact
- Nature of Transaction: Purchase/Redemption/Switch.
- Units/Amount
- Signed the form
- Strike off unused sections to avoid misuse

Switch-in To Scheme / Plan / Option\* / Sub Option\*

DSP BlackRock **Your new Scheme Name/Plan/Option/Sub-option**

**DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable law neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: If EUIIN is left blank/not mentioned; I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person.

<b>Sign here</b> (as per mode of holding)	2	3	
Sole / First Unit Holder	Second Unit Holder	Third Unit Holder	POA Holder, if any

ACKNOWLEDGEMENT SLIP		DSP BLACKROCK MUTUAL FUND	
Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.			
Investor Name <b>your Name</b>	<b>Nature of Transaction and Unit/Amount</b>		
Folio Number <b>your Folio No.</b>	<input type="checkbox"/> Additional Purchase or <input type="checkbox"/> SIP (PDC)	Amount (Rs.)	<input type="checkbox"/> Redemption or <input type="checkbox"/> Switch
Scheme <b>your Scheme</b>	Total Amount (Rs.)	OR Units	<input type="checkbox"/> PAN and KYC Updation
Plan / Option / Sub Option	Total Cheques	<input type="checkbox"/> PoA Registration <input type="checkbox"/> STP or <input type="checkbox"/> SWP or <input type="checkbox"/> DTP	<b>Retain acknowledgement till receipt of transaction confirmation</b>
	Cheques From		
	No.(s) To		
ISC Stamp & Signature			

Distributor Name and ARN	Sub Broker Code	Sub Broker/Branch/ RM Internal Code	Employee Unique ID. No. (EUIIN)	For Office use only
<b>your Distributor's Details: ARN Code, Sub Broker ARN and EUIIN</b>				
Name of Sole / First Unitholder (Leave space between first / middle / last name) <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s. <input type="checkbox"/> Others			Folio Number	
<b>your Name</b>			<b>your Existing Folio No. with DSPBR</b>	
Scheme Name / Plan / Option* / Sub Option*		DSP BlackRock <b>the Full Scheme Name/Plan/Option/Sub option in which you would like to transact</b>		
<b>SIP through Cheques?</b> (Separate cheque required for investment in different Scheme / Plan) All Cheques should be of same date of the months / quarters.				
Each SIP Amount (minimum Rs. 500)		Rs. <b>your SIP Amount</b>		
SIP Date		<input checked="" type="checkbox"/> on preferred SIP Debit Date <input type="checkbox"/> 28th		
Investment Frequency		<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly		
SIP Period From		<b>your SIP Start &amp; End Period</b> DD / MM / YY		
(Minimum 12 installments, 6 in case of DSPBR TaxSaver Fund)				
Cheque Nos. From		<b>your Cheque details</b> To		
Drawn on Bank				
Branch		City		
<b>STP? Fill this section</b> (Please allow 7 days to register STP)				
STP in To Scheme		<b>STP INTO the Full Scheme Name/ Plan/Option/Sub option</b>		
DSP BlackRock		To		
Target Scheme		To where Dividend is to be transferred)		
DSP BlackRock		To		
<b>SWP? Fill this section</b> (Please allow 7 days to register SWP)				
Withdrawal Amount		<input type="checkbox"/> Fixed Sum of Rs. <b>your Withdrawal Amount</b> (Minimum Rs.500/-)		
		<input type="checkbox"/> Capital Appreciation, subject to Minimum of Rs.500/-		
Withdrawal Date		<input checked="" type="checkbox"/> on preferred Withdrawal Date <input type="checkbox"/> 3th <input type="checkbox"/> All five Dates		
Frequency		<input checked="" type="checkbox"/> <b>your Withdrawal Frequency</b> Default Option		
Withdrawal Period (Period to cover - minimum 6 SWP transactions)		From <b>your Withdrawal Start &amp; End Period</b> MM / YY		
* Default Option may be applied in case of no information, ambiguity or discrepancy.				
(To be signed as per Mode of Holding)				
<b>DECLARATION &amp; SIGNATURES</b>				
Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality.				
If EUIIN is left blank/not mentioned; I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.				
<b>Sign here</b>		<b>2</b>		<b>3</b>
Sole / First Unit Holder		Second Unit Holder		Third Unit Holder
POA Holder, if any				

I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**If EUIIN is left blank, please  and sign in this box**

**Dividend Transfer Plan? (DTP) - ENROLMENT DETAILS**  
(Please allow 7 days to register DTP) (Refer Terms and Conditions)

(Please mention complete Scheme, Plan & Option)

**Source Scheme** (From where Dividend is to be transferred)

DSP BlackRock

To

**Target Scheme** (To where Dividend is to be transferred)

DSP BlackRock

**SWP? Fill this section** (Please allow 7 days to register SWP)

Withdrawal Amount  Fixed Sum of Rs. **your Withdrawal Amount** (Minimum Rs.500/-)

Capital Appreciation, subject to Minimum of Rs.500/-

Withdrawal Date  on preferred Withdrawal Date  3th  All five Dates

Frequency  **your Withdrawal Frequency** Default Option

Withdrawal Period (Period to cover - minimum 6 SWP transactions)

From **your Withdrawal Start & End Period** MM / YY

**INSTRUCTIONS**

This form should be used by existing investors only by mentioning their folio number, name and Scheme details. Please read the Scheme related documents, Addenda, KIM and Instructions there-in and below mentioned instructions carefully before filling up the form. Investors should provide details/instructions only in the designated space provided in the form else the same may not be considered.

**ADDITIONAL PURCHASE & PAYMENT DETAILS:** Investors should fill name of the scheme, plan, option and sub-option. In case the details are not proper and clear or in case of incorrect cheque or demand draft should be drawn in favour of "Scheme Name", as the case may be, and should be crossed "Account Payee Only". Separate cheques and form should be given to the first unit holder should be one of the bank account holders in the pay-in bank account. Purchase application through Cheque/DD/RTGS/NEFT/Funds transfer requests should not be made to the bank account used for issuing the payments to the fund. If this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, or in case of demand drafts, bank certificate, bank passbook copy or statement to prove that the funds are from a bank account held by first unit holder only. If the documents are not submitted with the application are advised to visit www.dspblackrock.com for details on documents, restrictions on third party payments or approach any of the offices of the fund.

**ADDITIONAL PURCHASE THROUGH OTM FACILITY:** If you are making payment through OTM facility registered in your folio, please tick the relevant box and do not attach any cheque or demand draft. Mention the bank account number and bank name where you wish the debit to happen. If the same is not mentioned or is not registered, default bank mandate under OTM facility KYC COMPLIANCE: Investors shall note that KYC is mandatory and they need to comply with the "Know Your Client" requirements as applicable from time to time. For more information, please refer to the KYC section of the Scheme Information Document. Applications are liable to be rejected without any intimation to the applicants, if required KYC compliance is not completed by all the applicants/unit holders.

**REDEMPTION REQUEST:** Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Please ensure that either of amount or bank accounts and designate one of the bank account as "Default Bank Account". Default Bank Account will be used for all dividends and redemptions payouts including FMP scheme. A new non-registered bank account specified in the specific redemption request for receiving redemption proceeds will be used for redemption request if existing facility of redemption with change of bank mandate is discontinued by the fund. New bank accounts can only be registered using the designated "Bank Account Register Form".

**BANK ACCOUNT FOR REDEMPTION PROCEEDS:** Please note the following important points related to payment of redemption proceeds: Proceeds of any redemption request will be credited to the bank account specified in the redemption request. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If a new bank account is provided with a specific redemption request (with or without necessary supporting documents) such bank account will not be used for redemption proceeds. POA REGISTRATION: Only a General Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered with the Registrar. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered only if it is in compliance with the provisions of the Act. **SYSTEMATIC INVESTMENT PLAN (SIP):** Minimum Investment Amount for each SIP instalment is Rs.500/-. SIP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st / 28th of the month. The Cheque should be drawn in favour of "Name of the Scheme and Plan" as applicable. A separate SIP Form must be filled for each Scheme / Plan. Please mention the details of the scheme, plan, option and sub-option in the designated space provided in the form. Minimum 12 installments in all available Schemes, 6 installments in DSPBR Tax Saver Fund.

**SYSTEMATIC TRANSFER PLAN (STP) / SYSTEMATIC WITHDRAWAL PLAN (SWP):** Please allow upto 7 days for STP/ SWP to be registered and first STP/ SWP transaction to happen. Hence form should be submitted at least 7 days before STP / SWP start date. STP/ SWP is available in all the schemes of the Fund. Please note the default options highlighted in the form which will be used in case of incorrect details in the form. For investors availing the transfer/ withdrawal of 'appreciation' option, where in any week, month or quarter, there is no appreciation or is less than Rs.500/-, switch/withdrawal as mentioned above, may not be carried out. You can choose to discontinue this facility by giving 30 days written notice to the Registrar.

**DIVIDEND TRANSFER PLAN (DTP):** Please allow upto 7 days for DTP to be registered. Hence form should be submitted at least 7 days before the record date of any forthcoming proposed dividend. Please refer to www.dspblackrock.com > Services > Dividend Transfer Plan for list of Source Scheme, Target Schemes and detailed terms and conditions. The Minimum amount of dividend eligible for transfer under Dividend Transfer Plan is Rs. 500/-.

Quick Checklist - Page 2

Hope you have filled the following:

- Distributor Details, including EUIIN [or] signed against declaration
- Your Name and Folio Number
- Scheme Name in which you wish to transact
- Nature of Transaction: SIP/STP/SWP/DTP
- Details of SIP/STP/SWP: Amount, Date, Frequency, Period
- Signed the form
- Strike off unused sections to avoid misuse