

KYC details (Mandatory) Individual Non-Individual (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form)

Status of First/Sole Applicant [Please (✓)]

- Listed Company
- Unlisted Company
- Individual
- Minor through guardian
- HUF
- Partnership
- Society/Club
- Company
- Body Corporate
- Trust
- Mutual Fund
- FPI
- NRI-Repatriable
- NRI-Non-Repatriable
- FI/Sub account of FI
- Fund of Funds in India
- QFI
- Others..... (please specify)

Occupation Details [Please (✓)]

(To be filled only if the applicant is an individual)

- First Applicant**
- Private Sector Service Public Sector Service
 - Government Service Business
 - Professional Agriculturist
 - Retired Housewife
 - Student Forex Dealer
 - Others..... (please specify)
- Second Applicant**
- Private Sector Service Public Sector Service
 - Government Service Business
 - Professional Agriculturist
 - Retired Housewife
 - Student Forex Dealer
 - Others..... (please specify)
- Third Applicant**
- Private Sector Service Public Sector Service
 - Government Service Business
 - Professional Agriculturist
 - Retired Housewife
 - Student Forex Dealer
 - Others..... (please specify)

Gross Annual Income (in ₹) [Please (✓)]

- First Applicant**
- Below 1 Lac 1-5 Lacs
 - 5-10 Lacs 10-25 Lacs
 - > 25 Lacs - 1 Crore > 1 Crore (or)
- Net-worth (Mandatory for non-individuals) ₹
..... as on
IDIDIMIMIYIYIYIYI (Not older than one year)
- Second Applicant**
- Below 1 Lac 1-5 Lacs
 - 5-10 Lacs 10-25 Lacs
 - > 25 Lacs - 1 Crore > 1 Crore (or) Net-worth.....
- Third Applicant**
- Below 1 Lac 1-5 Lacs
 - 5-10 Lacs 10-25 Lacs
 - > 25 Lacs - 1 Crore > 1 Crore (or) Net-worth.....

PEP Status

- First Applicant**
- For Individuals [Please (✓)]** Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors)
- I am PEP
 - I am related to PEP Not Applicable
- For Non-Individuals providing any of the below mentioned services [Please (✓)]**
- Foreign Exchange/Money Changer Services
 - Gaming/Gambling/Lottery/Casino Services
 - Money Lending/Pawning
 - None of the above
- Second Applicant**
- (To be filled only if the applicant is an individual)
- I am PEP
 - I am related to PEP
 - Not Applicable
- Third Applicant**
- (To be filled only if the applicant is an individual)
- I am PEP
 - I am related to PEP
 - Not Applicable

FATCA-CRS DETAILS For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA-CRS Annexure

The below information is required for all applicant(s) / guardian / PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Is your Country of Birth/ citizenship other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have answered YES to any of above, please provide the below details

Country of Tax Residence			
Nationality			
Tax Identification Number ^{\$} or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
City of birth			
Country of birth			

\$ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

Certification & Signatures: I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. If I/We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Certification: I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions), and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same. I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes, or in respect of any other information as may be required under applicable tax laws.

FATCA-CRS Instructions

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s). If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.

Signature
First / Sole Applicant / Guardian
Second Applicant
Third Applicant

Contact No. 1860 425 7237 (India)
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