

Date: DD /MM / YYYY

To  
Nippon India Mutual Fund

Dear Sir, (Investor needs to tick on any one of the two options as applicable for the transaction)

I/We hereby remediate the missing / invalid Employee Unique Identification Number (EUIN) by providing the EUIN confirmation, for the following transaction:

Folio No: \_\_\_\_\_, Transaction Date: \_\_\_/\_\_\_/\_\_\_\_\_ ARN Code: \_\_\_\_\_.

Transaction Type :  Purchase  Switch  SIP  STP  Others (Please specify) \_\_\_\_\_  
 Scheme : \_\_\_\_\_, Plan: \_\_\_\_\_, Option: \_\_\_\_\_  
 (For Switch transaction please mention Switch-in Scheme name)  
 Units /  Amount : \_\_\_\_\_ (As applicable), Cheque / DD No : \_\_\_\_\_.

<p><input type="checkbox"/> <b>Execution Only Transaction Declaration</b> (Please Tick)</p> <p><small>*Please sign below in case the EUIN is left blank/not provided.</small></p> <p><small>I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.</small></p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; border: 1px solid black; height: 40px; vertical-align: bottom; text-align: center;"><small>SIGN HERE</small></td> <td style="width: 33%; border: 1px solid black; height: 40px;"></td> <td style="width: 33%; border: 1px solid black; height: 40px;"></td> </tr> <tr> <td style="text-align: center; font-size: 8px;"><small>First Unit Holder/ Authorised Signatory</small></td> <td style="text-align: center; font-size: 8px;"><small>Second Applicant Authorised Signatory</small></td> <td style="text-align: center; font-size: 8px;"><small>Third Applicant Authorised Signatory</small></td> </tr> </table>	<small>SIGN HERE</small>			<small>First Unit Holder/ Authorised Signatory</small>	<small>Second Applicant Authorised Signatory</small>	<small>Third Applicant Authorised Signatory</small>	OR	<p><input type="checkbox"/> <b>EUIN Updation</b></p> <p>EUIN to be updated : _____</p> <div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p style="text-align: center; font-size: 8px;">Signature of ARN Holder / Authorized Signatory with Name &amp; Seal</p>
<small>SIGN HERE</small>								
<small>First Unit Holder/ Authorised Signatory</small>	<small>Second Applicant Authorised Signatory</small>	<small>Third Applicant Authorised Signatory</small>						

1. The declaration must be submitted within 30 days from transaction date for transactions submitted on or after October 1, 2013 upto 30th June 2014.
2. Declaration must be signed by all applicants in case of mode holding is joint.
3. A separate declaration must be furnished for each transaction.

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