

Third Party Payment Declaration Form

All details are mandatory, including relationship, PAN and KYC. Please read scheme related documents, KIM, Instructions details on Third Party payment guidelines before investing and filling this form. The forms should be filled in English. Please tick relevant boxes where applicable.

Annexure to Common Application Form No.:

Unit Holder Information (Beneficial Investor)

Name of First/Sole Applicant

For Existing Unit Holder : Folio No.

Account No.

Third Party Information and Relationship with Applicant (Beneficial Owner)

Name of Third Party making payment				
PAN details and KYC (Mandatory)	<input type="checkbox"/> KYC Acknowledgement of Third Party attached.			
Contact Details	Mobile:	Tel.:		
	Email:			
	Address:			
Contact Person Details For Non Individuals	Name:			
	Designation:			
Beneficial Investor status Please tick one as applicable	<input type="checkbox"/> Minor (upto Rs. 50,000/- only) (for each SIP/lumpsum) (The limit of Rs. 50,000/- will not be applicable if the payment is made from the guardian who is registered in our record in the respective folio)	<input type="checkbox"/> FII or <input type="checkbox"/> Client	<input type="checkbox"/> Employee/s	<input type="checkbox"/> Agent/ Distributor/ Dealer (similar arrangement with Principal - agent relationship)
Relationship with Beneficial Investor	<input type="checkbox"/> Parent <input type="checkbox"/> Grand Parent <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Relative (Specify relationship)	Custodian: SEBI Regn No.: Validity till: <input type="text"/> / <input type="text"/> / <input type="text"/>	Employer	Corporate
Declaration by Third Party	I hereby declare and confirm the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only.	We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the FII/Client.	We confirm that the investment/s is/are on behalf of our employee/s and payment/s is/are towards Systematic Investment plan/ or Lumpsum or one time through the payroll deduction, or deduction out of expense reimbursement.	We confirm that the investment/s is/are on behalf of our Agent/ Distributor/ Dealer (similar arrangement with Principal-agent relationship) on account of commission/ incentive payable for sale of its goods/services in form of mutual fund units through Systematic Investment plan/ or Lump sum or one-time subscription.

Payment Details

Investment Amount in Rs.				
Payment Mode	<input type="checkbox"/> Cheque <input type="checkbox"/> Demand Draft/Pay Order <input type="checkbox"/> Funds Transfer <input type="checkbox"/> RTGS/NEFT			
Cheque/DD/UTR No.				Dated: <input type="text"/> / <input type="text"/> / <input type="text"/>
Payment from A/c No.				
Payment from Bank & Branch				
Account type	For Residents <input type="checkbox"/> Savings <input type="checkbox"/> Current	For Non-Residents <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____		
Mandatory Documents (based on payment mode) :				
<input type="checkbox"/> Cheque: Account number and account holder name should be printed on the cheque. Else a copy of the bank passbook / bank statement account / bank letter certifying the third party account holder and account number.				
<input type="checkbox"/> Demand Draft: Issuing Banker certificate/DD counterfoil mentioning Bank Account Holder's Name and Bank Account Number debited for issue of the demand draft.				
<input type="checkbox"/> Funds Transfer/RTGS/NEFT: Instruction copy to the Bank stating the Bank Account Number used for payment				

Declaration

Signature/s

Third Party and Beneficial Investor have read and understood the Third Party Payment Rules, and hereby agree to be bound by the same.

We certify that the information declared herein is true and correct. We hereby agree to promptly inform Franklin Templeton Mutual Fund (FTMF), its Trustee, the AMC of any changes to the information provided hereinabove and shall furnish such further information as may be required.

Third Party hereby confirms that the monies invested in the scheme(s) of FTMF legally belong to it and / or is derived through legitimate sources and is not held or designed for the purpose of contravention of any applicable act, rules, regulations or any notifications, directions issued by governmental or statutory or judicial or regulatory authorities / agencies, from time to time.

Beneficial Investor has no objection to the funds received from the Third Party.

We acknowledge that FTMF, its Trustee, the AMC shall have sole and absolute discretion to reject / not process the application received from the beneficial investor(s) and refund the subscription monies without any interest or compensation.

Third Party making Payment

Sole / First Investor/Applicant / Registered Guardian

Third Party Payment Rules

In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "Risk mitigation process against Third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.

1. The following words and expressions shall have the meaning specified herein:

- (a) "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
- (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
- (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the Beneficiary Investor. It is clarified that in case of payments from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.

2. The AMC shall not accept subscriptions with Third Party payment instruments in the Scheme, except in cases of

- a. In case of investment in the name of a minor, payment by Parents / Grand- Parents / related persons (other than the person registered as Guardian in the minor's Folio) on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP instalment);
- b. In case of investment in the name of a minor, payment by the person registered as Guardian in the minor's Folio irrespective the amount of investment;
- c. Payment by Employer on behalf of employee for lump sum/one-time subscription or under SIP through Payroll deductions or deductions out of expense reimbursement;
- d. Payment by Employer towards subscription in the name of employees as bonus/incentive paid in form of mutual fund units;
- e. Custodian on behalf of an FII or a client.
- f. Payment by Asset Management Company to a Distributor empanelled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by such AMC through Systematic Investment Plans or lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time;
- g. Payment by Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal-agent relationship), on account of commission/ incentive payable for sale of its goods/services in form of mutual fund units through SIP or lump sum/ one-time subscription.

3. The investors making an application under the exception cases mentioned above need to submit such declarations and other documents / information as may be prescribed by the AMC from time to time, without which applications for subscriptions for units will be rejected / not processed / refunded.

4. KYC is mandatory for all investors (guardian in case of minor) and the person making the payment i.e. third party.

The above mentioned Third Party Payment Rules are subject to change from time to time.